SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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OMB Number:	Number: 3235-0287							
Estimated average burden								
hours per response	: 0.5							

See

Footnote⁽²⁾

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Instruction 1(b).			uant to Section 16(a Section 30(h) of the					1934		· ·	
1. Name and Address of Reporting <u>CLARE PETER J</u>	Person [*]		2. Issuer Name and Ticker or Trading Symbol Carlyle Group Inc. [CG]			(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) 1001 PENNSYLVANIA AV	(Middle) ENUE, NW		3. Date of Earliest Transaction (Month/Day/Year) 02/08/2022				>	Officer (give titl below)	e Othe belo remarks.	er (specify w)	
(Street) WASHINGTON DC (City) (State)	20004 (Zip)	4.1	4. If Amendment, Date of Original Filed (Month/Day/Year)				Line	Individual or Joint/Group Filing (Check Applicable ne) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
Date		2. Transaction Date (Month/Day/Year)	Execution Date,			4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock		02/08/2022		A		92,804	A ⁽¹⁾	\$0.00	5,029,505	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10 11. Nature Transaction Code (Instr. Ownership Derivative Conversion Date Execution Date, Expiration Date (Month/Day/Year) Amount of Derivative derivative of Indirect of (Month/Day/Year) Security (Instr. 3) or Exercise Price of if any (Month/Day/Year) Derivative Securities Security Securities Form: **Beneficial** 8) Securities Underlying (Instr. 5) Beneficially Direct (D) Ownership Derivative or Indirect (I) (Instr. 4) Acquired Derivative Owned (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Following Reported Security of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration of v Title

Explanation of Responses:

Common Stock

1. These shares of common stock were acquired upon the settlement of a performance-based restricted stock unit award previously granted to the reporting person.

(A) (D)

Code

2. These shares of common stock are held by a trust for the benefit of the reporting person's family. The reporting person is the special purpose trustee of the trust and has sole investment power over the securities

Exercisable

Date

Remarks:

The reporting person's title is Chief Investment Officer for Corporate Private Equity and Chairman of Americas Private Equity. Pursuant to Rule 16a-1(a)(4) of the Securities Exchange Act of 1934, as amended, the reporting person herein states that this filing shall not be deemed to be an admission that such reporting person is the beneficial owner of any of these interests, and disclaims beneficial ownership of such interests, except to the extent of such reporting person's pecuniary interest in such interests.

> /s/ Jeffrey W. Ferguson by 02/10/2022 power of attorney for Peter J. Clare

273,632

** Signature of Reporting Person Date

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See