FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
|-------------|------------|
|-------------|------------|

| Check this box if no longer subject | ST |
|-------------------------------------|----|
| to Section 16. Form 4 or Form 5     |    |
| obligations may continue. See       |    |
| Instruction 1(b).                   |    |

## ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     ROBERTSON THOMAS S     |  |              |         |   |         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Carlyle Group Inc. [ CG ]                                      |        |  |                |                                    |   |                         |  |   | ionship of Reportii<br>all applicable)<br>Director   |                           | 10% Ov   |  | vner      |
|--|--|--------------|---------|---|---------|---|--------|--|----------------|------------------------------------|---|-------------------------|--|---|--|---------------------------|--|--|-----------|
|  |  | E GROUP INC. | Middle) |   |         | 3. Date of Earliest Transaction (Month/Day/Year)  11/03/2021  Officer (give title below)                          |        |  |                |                                    |   |                         |  |   |  |                           |  | Other (<br>below)  | specify   |
| 1001 PENNSYLVANIA AVENUE NW                                      |  |              |         |   | 4. If A | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |        |  |                |                                    |   |                         |  | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |                           |  |  |           |
| (Street) WASHIN  | (Street) WASHINGTON DC 20004   |              |         |   |         |   |        |  |                |                                    |   |                         |  |   | <ul> <li>Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting<br/>Person</li> </ul> |                           |  |  |           |
| (City)   | (Sta   | ate) (Z      | Zip)    |   |         |   |        |  |                |                                    |   |                         |  |   |  |                           |  |  |           |
|  |  | Table        | I - No  | n-Deriva                                | tive S  | Secui   | rities | Acc  | uired          | l, Dis                             | posed of  | , or E                  | Benefic                                | ially   | Own  | ed                        |  |  |           |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/ |  |              |         | Execution Date,                         |         | ·   |        |  |                | s Acquired (A) of (D) (Instr. 3, 4 |   | and 5) Securi<br>Benefi |  | ities Fo<br>icially (D<br>d Following (I)                   |  |                           | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |           |
|  |  |              |         |   |         |   |        |  | Code           | v                                  | Amount  | (A) oi<br>(D)           | Price                                  | Tra   |  | saction(s)<br>r. 3 and 4) |  |  | (11341.4) |
| Common Stock 11/03/20  |  |              | 021     |   |         |   | S      |  | 30,000         | D                                  | \$56.6  | 58 <sup>(1)</sup> 21,93 |  | 1,930 Г   |  | D                         |  |  |           |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |              |         |   |         |   |        |  |                |                                    |   |                         |  |   |  |                           |  |  |           |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)              | erivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any   |              |         | 4.<br>Transaction<br>Code (Instr.<br>8) |         | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                |                                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Inst<br>3 and 4) |                         | Deri<br>Sec<br>(Ins                    | rice of<br>ivative<br>urity<br>tr. 5)                       | tive derivative<br>ty Securities   |                           | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |           |
|  |  |              |         |   | Code    | v   | (A)    | (D)  | Date<br>Exerci | sable                              | Expiration<br>Date  | Title                   | Amount<br>or<br>Number<br>of<br>Shares |   |  |                           |  |  |           |

## **Explanation of Responses:**

## Remarks:

/s/ Jeffrey W. Ferguson, by Power of Attorney for Thomas 11/04/2021 S. Robertson

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>1.</sup> The price reported in column 4 is a weighted average price. These shares of common stock were sold in multiple transactions at prices ranging from \$56.43 to \$56.87, inclusive. The reporting person undertakes to provide to The Carlyle Group Inc., any security holder of The Carlyle Group Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares of common stock sold at each separate price within the range set forth in this footnote.