Form N-PX Filer Information

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Form N-PX

ANNUAL REPORT OF PROXY VOTING RECORD

OMB APPROVAL OMB Number: 3235-0582

Estimated average burden hours per

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### **N-PX: Filer Information**

Filer CIK	0001527166
Filer CCC	******
Date of Report	06/30/2024
Are you a Registered Management Investment Company or an Institutional Manager?	Institutional Manager
Is this a LIVE or TEST Filing?	LIVE TEST
Is this an electronic copy of an official filing submitted in paper format?	

**Submission Contact Information** 

Phone Number

E-mail Address

**Notification Information** 

Notify via Filing Website only?

## **N-PX:** Cover Page

## Name and address of reporting person:

Name of reporting person (For registered management investment companies, provide exact name of registrant as specified in charter) Carlyle Group Inc. C/O THE CARLYLE GROUP Street 1 1001 PENNSYLVANIA AVENUE, N.W. Street 2 WASHINGTON City DISTRICT OF COLUMBIA State/Country 20004 Zip code and zip code extension or foreign postal code 202-729-5626 Telephone number of reporting person, including area code:

### Name and address of agent for service:

Name of agent for service

Street 1

Street 2

City

State/Country

Zip code and zip code extension or foreign postal code

Reporting Period: Report for the year ended June 30, 2024

SEC Investment Company Act or Form 13F File Number: 028-15646

000159215 CRD Number (if any):

Other SEC File Number (if any):

801-72890

Legal Entity Identifier (if any):

### Report Type (check only one):

Registered Management Investment Company.

- Fund Voting Report (Check here if the registered management investment company held one or more securities it was entitled to vote.)
- Fund Notice Report (Check here if the registered management investment company did not hold any securities it was entitled to vote.)
- Institutional Manager Voting Report (Check here if all proxy votes of this reporting manager are reported in this report.)
- Institutional Manager Notice Report (Check here if no proxy votes are reported in this report and complete the notice report filing explanation section below)
- Institutional Manager Combination Report (Check here if a portion of the proxy votes for this reporting manager are reported in this report and a portion are reported by other reporting person(s).)

### Other Persons Reporting for this Manager:1

Investment Company Act or Form 13F File Number: 028-15646

000159215 CRD Number (if any):

Other SEC File Number (if any):

801-72890

LEI (if any):

Name AlpInvest Partners B.V. Do you wish to provide explanatory information pursuant to Special Instruction B.4?:

Yes No

Additional information:

Explanatory Note 1 AlpInvest Partners B.V. is an indirectly wholly owned investment adviser of The Carlyle Group Inc. However, The Carlyle Group Inc. does not excercise any voting power over the shares held by AlpInvest Partners B.V. --- Explanatory Note 2 In August 2022, The Carlyle Group Inc. completed its acquisition of the Abingworth group, a life sciences investment firm. As a result of the acquisition, Abingworth LLP became a relying advisor of Carlyle Investment Management L.L.C.

# N-PX: Summary - Included Managers

Number of Included Institutional Managers: <sup>3</sup>

#### Included Institutional Managers:1

No.:

Form 13F File Number [028-]: 028-14285

CRD Number (if any): 00016321

SEC File Number (if any): 802-75741

LEI (if any):

Name: Abingworth LLP

### **Included Institutional Managers:2**

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Form 13F File Number [028-]: 028-12429

CRD Number (if any): 000111128

SEC File Number (if any): 801-52462

LEI (if any):

Name: Carlyle Investment Management LLC

### Included Institutional Managers:3

No ·

Form 13F File Number [028-]: 028-15024

CRD Number (if any): 000114795

SEC File Number (if any):

LEI (if any):

Name: CIM Global, L.L.C.

# **N-PX: Signature Block**

Reporting Person: Carlyle Group Inc.

By (Signature): Jeffrey W. Ferguson

By (Printed Signature): Jeffrey W. Ferguson

By (Title): General Counsel

Date: 08/30/2024

## FORM N-PX PROXY VOTING RECORD

COLUMN1	COLUMN2	COLUMN3	COLUMN4	COLUMN5	COLUMN6	COLUMN7	(
NAME OF ISSUER	CUSIP	ISIN	FIGI	MEETING DATE	VOTE DESCRIPTION	VOTE CATEGORY	DESCRIPTION
Adicet Bio, Inc.	7002108	-		6/5/2024	To ratify, on a non-binding advisory basis, the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-
Adicet Bio, Inc.	7002108	-		6/5/2024	To ratify, on a non-binding advisory basis, the frequency of future advisory votes on the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-
Broadcom Inc.	11135F101	-		4/22/2024	Advisory vote to approve the named executive officer compensation.	SECTION 14A SAY-ON-PAY VOTES	-
Confluent, Inc.	20717M103	-		6/12/2024	Approval, on a non-binding advisory basis, to approve the compensation of our named executive officers, as disclosed in the Proxy Statement.	SECTION 14A SAY-ON-PAY VOTES	-
CubeSmart	229663109	-		5/21/2024	To cast an advisory vote to approve our executive compensation.	SECTION 14A SAY-ON-PAY VOTES	-
DoorDash Inc	25809K105	-		6/20/2024	The approval, on an advisory basis, of the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-
Exicure, Inc.	30205M200	-		6/28/2024	To approve, on an advisory basis, the compensation of the Company's named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-
Exicure, Inc.	30205M200	-		6/28/2024	To recommend, on an advisory basis, the frequency of future votes regarding executive compensation.	SECTION 14A SAY-ON-PAY VOTES	-
Invitation Homes Inc.	46187W107	-		5/15/2024	To approve, in a non-binding advisory vote, the compensation paid to our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-
Krispy Kreme, Inc.	471871202	-		6/18/2024	?To approve an advisory resolution regarding KKI?s executive compensation.	SECTION 14A SAY-ON-PAY VOTES	-
MKS Instruments, Inc.	55306N104	-		5/7/2024	The approval, on an advisory basis, of executive compensation.	SECTION 14A SAY-ON-PAY VOTES	-
MKS Instruments, Inc.	55306N104	-		5/7/2024	The approval, on an advisory basis, of executive compensation.	SECTION 14A SAY-ON-PAY VOTES	
Molecular Templates, Inc.	608550208	-		10/27/2023			
N-able, Inc.	62878D100			5/22/2024	Non-binding advisory vote to approve the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	
N-able, Inc.	62878D100	-		5/22/2024	Advisory vote on the frequency of future advisory votes on executive compensation.	SECTION 14A SAY-ON-PAY VOTES	
	219798105	-		5/14/2024	Approval of, on an advisory basis, the compensation of QuidelOrtho?s named executive officers.	SECTION 14A SAY-ON-PAY VOTES	
SEACOR Marine Holdings Inc.	78413P101	-		6/4/2024	Advisory vote to approve Named Executive Officer compensation (Say on Pay).	SECTION 14A SAY-ON-PAY VOTES	-
SolarWinds Corporation	83417Q204	-		5/23/2024	Non-binding advisory vote to approve the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	
Spyre Therapeutics, Inc.	00773J202	-		5/13/2024	To approve, on a non-binding, advisory basis, the compensation of the Company?s named executive officers.	SECTION 14A SAY-ON-PAY VOTES	
Verona Pharma Plc	925050106	-		4/26/2024	To approve, on an advisory (non-binding) basis, the compensation of the Company?s named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-
ZoomInfo Technologies Inc.	98980F104	-		5/15/2024	To approve, on an advisory, non-binding basis, the compensation of our named executive officers.	SECTION 14A SAY-ON-PAY VOTES	-

[Repeat as Necessary]