SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date on Requiring (Month/D) Carlyle Group Management L.L.C. (Month/D) 01/01/2 01/01/2		nent 🛛	3. Issuer Name and Ticker or Trading Symbol <u>Carlyle Group Inc.</u> [CG]				
(Last) (First) (Middle) 1001 PENNSYLVANIA AVENUE, NW			I. Relationship of Reporting Perso Check all applicable) Director X	on(s) to Issue 10% Owne	er (Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)	
(Street) WASHINGTON DC 20004			Officer (give title below)	Other (spe below)	Apr	licable Line) K Form filed b	t/Group Filing (Check ny One Reporting Person ny More than One terson
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock			17,000	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securit		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Explanation of Responses:	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	

Remarks:

CARLYLE GROUP MANAGEMENT L.L.C., By: /s/ Curtis L. Buser, Name: Curtis L. Buser, Title: Chief

Financial Officer

01/10/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.